

Attorney Docket: 147/49227  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MICHAEL REGGELIN ET AL.

Serial No.: 09/700,278

Filed: NOVEMBER 13, 2000

Title: METHOD FOR STEROCHEMICALLY CONTROLLED  
PRODUCTION OF ISOMERICALLY ~~PURE~~ HIGHLY  
SUBSTITUTED AZACYCLIC COMPOUNDS

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The references listed on the first page of the attached form PTO-1449 were cited and enclosed with the International Search Report, and have already been forwarded to, and acknowledged by, the U.S. Patent and Trademark Office. Thus, copies are not included herewith.

The references listed on the second and third pages of the attached form PTO-1449 are each discussed in the specification of the present invention. References AC and AH (second page of the form PTO-1449) were identified in the specification by an author other than the first listed author. These documents are

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both correctly identified on the form PTO-1449 by their respective first-named authors.

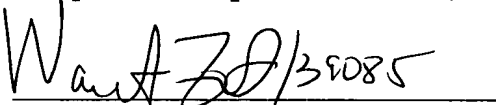
The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #147/49227).

Respectfully submitted,

April 3, 2001

Went 3/30/01

J. D. Evans

Registration No. 26,269

EVENSON, McKEOWN, EDWARDS  
& LENAHAN, P.L.L.C.  
1200 G Street, N.W., Suite 700  
Washington, DC 20005  
Telephone No.: (202) 628-8800  
Facsimile No.: (202) 628-8844

JDE:gmj